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PATENT APPLICATION

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q67621

Noriyoshi SHIDA, et al.

Appln. No.: 10/006,648

Group Art Unit: 1762

Confirmation No.: 2208 Examiner: NOT YET ASSIGNED

Filed: December 10, 2001

For: FILM FORMING APPARATUS AND FILM FORMING METHOD

STATEMENT UNDER 37 C.F.R. § 1.704(d)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making a reasonable inquiry, that the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

SUGHRUE MION, PLLC

Telephone: (202) 293-7060 Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

PATENT TRADEMARK OFFICE Date: May 9, 2003

Respectfully submitted,

Darryl Mexic

Registration No. 23,063

PATENT APPLICATION

EXNITED STATES PATENT AND TRADEMARK OFFICE

In re application Docket No: Q67621

Noriyoshi SHIDA, et al.

Appln. No.: 10/006,648 Group Art Unit: 1762

Confirmation No.: 2208 Examiner: NOT YET ASSIGNED

Filed: December 10, 2001

For: FILM FORMING APPARATUS AND FILM FORMING METHOD

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir:



In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Nevertheless, since a Statement can be made, a Statement is submitted herewith.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/006,648

ATTORNEY DOCKET NO. Q67621

The present Information Disclosure Statement is being filed thirty days or fewer from the

communication from a foreign patent office and a Statement Under 37 C.F.R. §1.704(d) is

attached.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicants enclose herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents, together with an

English-language version (if not already included) of that portion of the Communication

indicating the degree of relevance found by the foreign patent office.

Also, the submission of the attached English language abstracts along with Japanese

Patent Laid-open Publication Nos. 11-195250, 11-213459, and 11-144335 constitutes concise

statements of relevance of the respective references.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

Respectfully submitted,

Registration No. 23,063

listed document as a competent reference against the claims of the present application.

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PATENT TRADEMARK OFFICE

Date: May 9, 2003

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Substitute for Form 1449 A & B/PTO

INFORMATION DISCROSSRE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet

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Application Number	10/006,648
Confirmation Number	2208
Filing Date	December 10, 2001
First Named Inventor	Noriyoshi SHIDA
Art Unit	1762
Examiner Name	NOT YET ASSIGNED
Attorney Docket Number	Q67621

			U.S.	PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Document Number					
		Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		US 5,980,985		11/9/1999	Kikuchi		
		US 6,077,349		6/20/2000	Kikuchi		
	_	US					
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		US			70/10		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		JР	11-195250		7/21/1999	Sony Corp.	Abstract
		JP	11-213459		8/6/1999	Sony Corp.	Abstract
		JP	11-144335		5/28/1999	Toray Ind., Inc.	Abstract
		EP	0 260 674	A2	3/23/1988	E.I. Du Pont De Nemours and Company	
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OTHER ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶	
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Examiner Signature			Date Considered		
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.